



# NO FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision,  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 AND 1.28

TOTAL AMOUNT OF PAYMENT **(\$0.00)**

| Complete if Known    |                 |
|----------------------|-----------------|
| Application Number   | 10/648,497      |
| Filing Date          | August 25, 2003 |
| First Named Inventor | Sam Idicula     |
| Examiner Name        | NYA             |
| Group/Art Unit       | NYA             |
| Attorney Docket No.  | 50277-2238      |

## METHOD OF PAYMENT (check one)

1.  Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Deposit Account Number **50-1302**

Deposit Account Name **Hickman Palermo Truong & Becker, LLP**

2.  Payment Enclosed:

Check  Money Order  Other

3.  Applicant(s) is entitled to small entity status.  
See 37 CFR 1.27

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity                 | Small Entity | Fee Description                | Fee Paid |
|------------------------------|--------------|--------------------------------|----------|
| Fee Code                     | Fee Code     | Fee Description                |          |
| 1001                         | 750          | 2001 375 Utility filing fee    |          |
| 1002                         | 330          | 2002 165 Design filing fee     |          |
| 1003                         | 520          | 2003 260 Plant filing fee      |          |
| 1004                         | 750          | 2004 375 Reissue filing fee    |          |
| 1005                         | 160          | 2005 80 Provisional filing fee |          |
| SUBTOTAL (1) <b>(\$0.00)</b> |              |                                |          |

### 2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from Below | Fee Paid |
|--------------|--------------|----------------|----------|
| 26           | -26= 0       | X 18.00        | = 0.00   |
| 2            | - 3= 0       | X 84.00        | = 0.00   |

Multiple Dependent

\*\*or number previously paid, if greater; For Reissues, see below

| Large Entity                  | Small Entity | Fee Description  |
|-------------------------------|--------------|--|
| Fee Code                      | Fee Code     | Fee Description  |
| 1202                          | 18           | 2202 9 Claims in excess of 20                                    |
| 1201                          | 84           | 2201 42 Independent claims in excess of 3                        |
| 1203                          | 280          | 2203 140 Multiple dependent claim, if not paid                   |
| 1204                          | 84           | 2204 42 **Reissue independent claims over original patent        |
| 1205                          | 18           | 2205 9 **Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) <b>(\$ 0.00)</b> |              |  |

### 3. ADDITIONAL FEES

| Large Entity                      | Small Entity | Fee Description  | Fee Paid |
|-----------------------------------|--------------|--|----------|
| Fee Code                          | Fee Code     | Fee Description  |          |
| 1051                              | 130          | 2051 65 Surcharge – late filing fee or oath  |          |
| 1052                              | 50           | 2052 25 Surcharge – late provisional filing fee or cover sheet                     |          |
| 1053                              | 130          | 1053 130 Non-English specification   |          |
| 1804                              | 920*         | 1804 920* Requesting publication of SIR prior to Examiner action                   |          |
| 1805                              | 1,840*       | 1805 1,840* Requesting publication of SIR after Examiner action                    |          |
| 1251                              | 110          | 2251 55 Extension for reply within first month                                     |          |
| 1252                              | 410          | 2252 205 Extension for reply within second month                                   |          |
| 1253                              | 930          | 2253 465 Extension for reply within third month                                    |          |
| 1254                              | 1,450        | 2254 725 Extension for reply within fourth month                                   |          |
| 1255                              | 1,970        | 2255 985 Extension for reply within fifth month                                    |          |
| 1401                              | 320          | 2401 160 Notice of Appeal  |          |
| 1402                              | 320          | 2402 160 Filing a brief in support of an appeal                                    |          |
| 1403                              | 280          | 2403 140 Request for oral hearing  |          |
| 1451                              | 1,510        | 1451 1,510 Petition to institute a public use proceeding                           |          |
| 1452                              | 110          | 2452 55 Petition to revive – unavoidable   |          |
| 1453                              | 1,300        | 2453 650 Petition to revive – unintentional  |          |
| 1501                              | 1,300        | 2501 650 Utility issue fee (or reissue)  |          |
| 1502                              | 470          | 2502 235 Design issue fee  |          |
| 1503                              | 630          | 2503 315 Plant issue fee   |          |
| 1460                              | 130          | 1460 130 Petitions to the Commissioner   |          |
| 1807                              | 50           | 1807 50 Petitions related to provisional applications                              |          |
| 1806                              | 180          | 1806 180 Submission of information Disclosure Stmt                                 |          |
| 8021                              | 40           | 8021 40 Recording each patent assignment per property (times number of properties) |          |
| 1809                              | 750          | 2809 375 Filing a submission after final rejection (37 CFR § 1.129(a))             |          |
| 1810                              | 750          | 2810 375 For each additional invention to be examined (37 CFR § 1.129(b))          |          |
| Other fee (specify) _____         |              |  |          |
| Other fee (specify) _____         |              |  |          |
| *Reduced by Basic Filing Fee Paid |              | SUBTOTAL (3) <b>(\$ 0.00)</b>  |          |

| SUBMITTED BY      |                              |                                   |             |                          |
|-------------------|------------------------------|-----------------------------------|-------------|--------------------------|
| Name (Print/Type) | Christian A. Nicholes        | Registration No. (Attorney/Agent) | 50,266      | Telephone (408) 414-1080 |
| Signature         | <i>Christian A. Nicholes</i> | Date                              | 15 SEP 2003 |                          |

### WARNING:

Information on this form may become public. Credit card information should not be

Included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Docket No.: 50277-2238

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Confirmation No.: NYA

Sam Idicula, et al.

Group Art Unit No.: NYA

Serial No.: 10/648,497

Examiner: NYA

Filed on: August 25, 2003

For: MECHANISM TO ENABLE EVOLVING XML  
SCHEMA

**PRELIMINARY AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Prior to examination of the above-referenced application, please amend the application as follows.